Electronic Acknowledgement Receipt				
EFS ID:	4674746			
Application Number:	10632149			
International Application Number:				
Confirmation Number:	1871			
Title of Invention:	Method and system for providing rule-based collateral allocation and substitution			
First Named Inventor/Applicant Name:	David R. Fitzpatrick			
Correspondence Address:	DICKSTEIN SHAPIRO LLP Richard LaCava 1177 Avenue of the Americas - New York NY 10036-2714 US -			
Filer:	Richard Lacava/Robert Gingher			
Filer Authorized By:	Richard Lacava			
Attorney Docket Number:	19926.0023			
Receipt Date:	26-JAN-2009			
Filing Date:	30-JUL-2003			
Time Stamp:	15:02:50			
Application Type:	Utility under 35 USC 111(a)			
Payment information:				

yes

\$2890

Credit Card

Submitted with Payment

Payment was successfully received in RAM

Payment Type

RAM confirmation Number		422	422				
Deposit Account							
Authorized User							
File Listing:							
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.		
1 Extension of Time	l99260023_ext.pdf	32788	no	1			
		e1c6888d9H11Hee2fe0e709d47be222b50 5b46					
Warnings:							
Information:							
2 Appeal Brief Filed	199260023_appeal.pdf	416931	no	13			
		97609ead312755758802b3676a08adebcc1 993c2					
Warnings:							
Information:							
3 Fee Worksheet (PTO-06)	fee-info.pdf	32008	no	2			
		6626c149189e5a30u9425677733a57732d0					

Warnings:

Information:

Total Files Size (in bytes):

481727

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a

## Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810). A NOTIFICATION OF THE INTERNATION OF THE PROPERTY OF THE INTERNATION OF